

Understanding and Constructing AKE via 2-key KEM

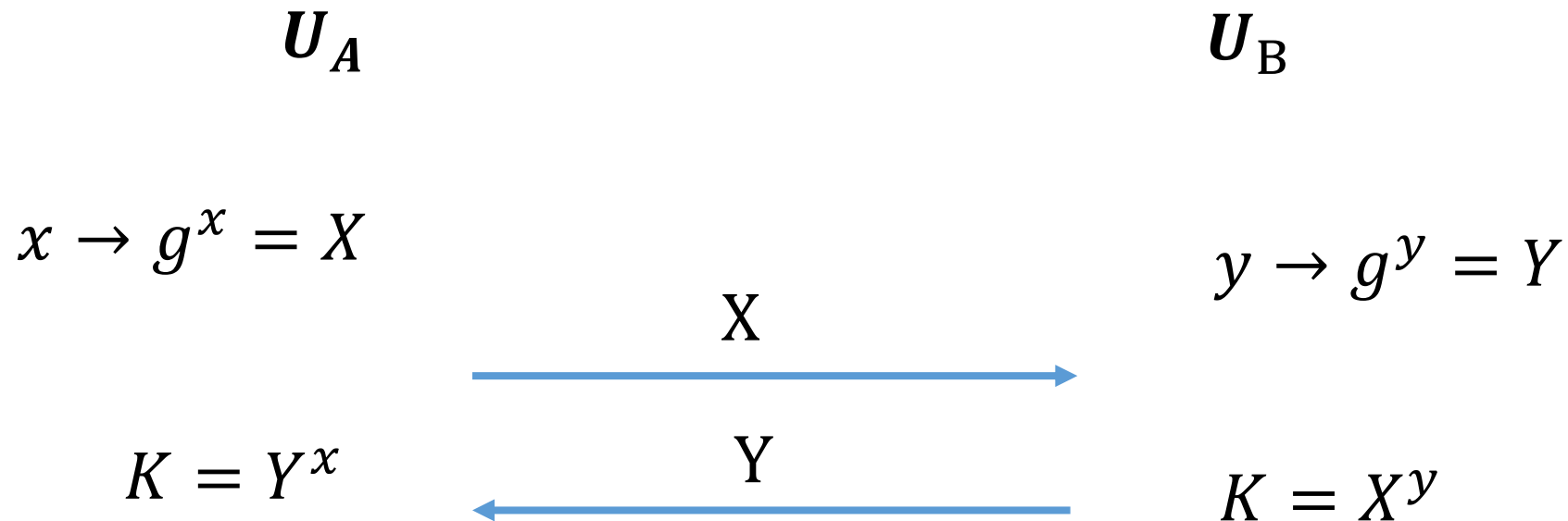
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Outline

- *Authenticated key exchange*
- *Motivations & our contributions*
- *$AKE \leftarrow 2\text{-key } KEM \leftarrow$*
- *AKE in a post quantum world*

Diffie-Hellman Key Exchange [DH76]



- Passive secure under DDH assumption
- Adaptive attacks: Man-in-the-middle attack etc.
- Basic and general idea: Authenticated Key Exchange (AKE)

Authenticated Key Exchange

- **Authenticated** Key Exchange (AKE). Binding id with static public key using PKI etc.

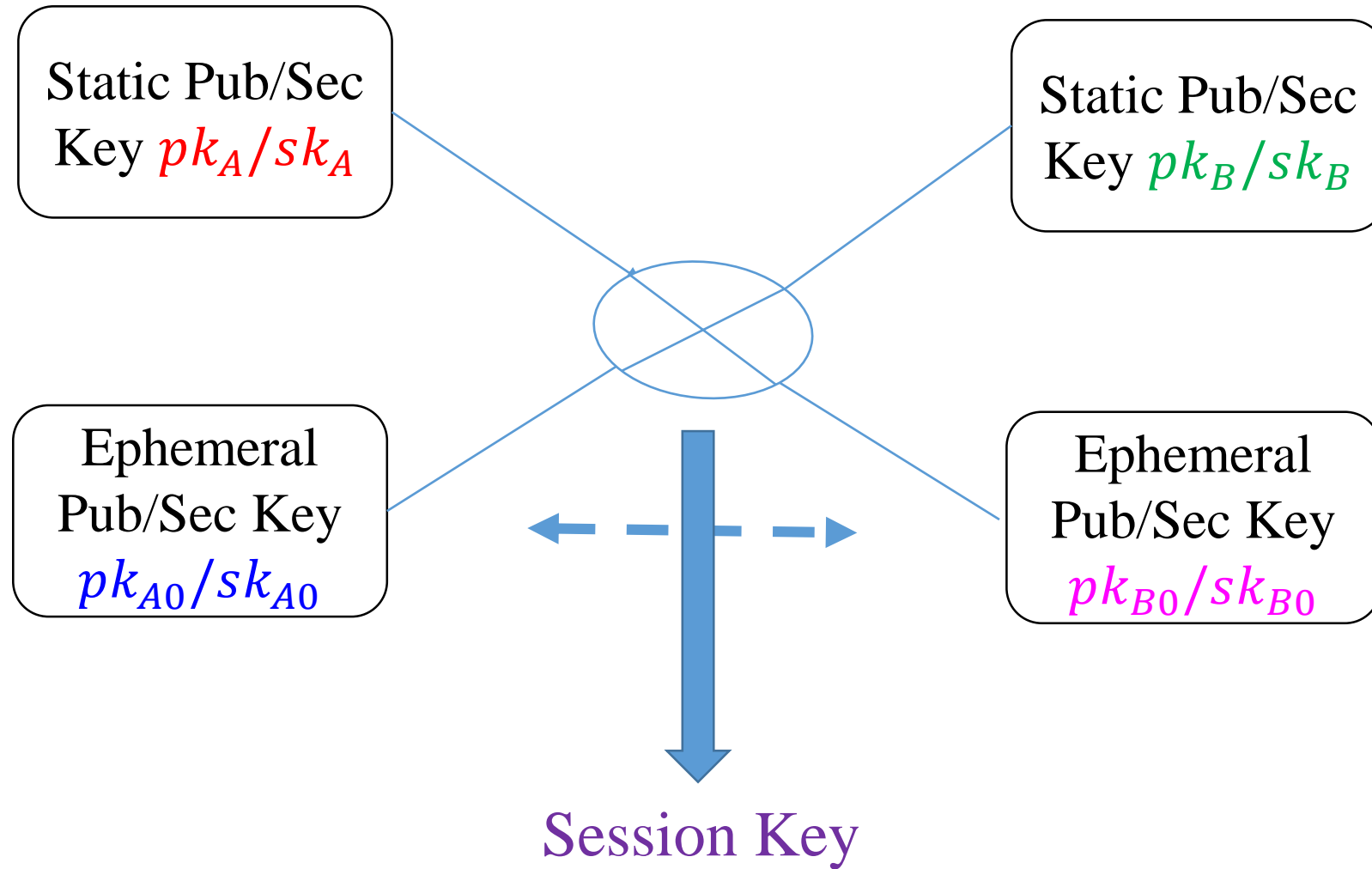
1. Security models

BR model, CK model, HMQV-CK, eCK model, CK+ model

2. Constructions

- **Explicit**: BR, CK01, IKE, Krawczyk03(SIGMA), ..., Peikert14 etc.
- **Implicit**: MTI, MQV, HMQV, OAKE, Okamoto07, NAXOS, BCNP+09, FSXY12-13 etc

General Structure of AKE



Challenges of AKE

- The models are tedious to describe and difficult to get right;
- just describing a concrete protocol itself can be hard enough;
- the security proofs and checking even more so.

Security of AKE

Adversary Capability

- Send
- Session state Reveal
- Session Key Reveal
- Corrupt

- Test (Target) Session

$$K^* \approx_c K_U$$

sk_A/a	sk_{A0}/x	sk_{B0}/y	sk_B/b
1	0	0	1

- (1, 1) wPFS
- (1, -) KCI
- ...
- 8 cases

Security of AKE

- Bellare-Rogaway 93 (**BR93**)
indistinguishable type definition
- Canetti-Krawczyk 01(**CK01**)
stronger security (session key, **session state**)
- LaMacchia-Lauter-Mityagin 07 (**eCK**)
stronger (session key, **ephemeral randomness**, wPFS+KCI+MEX)
- Fujioka-Suzuki-Xagawa-Yoneyama 12 (**CK+**)
reform the security of HMQV: CK01+wPFS+KCI+MEX

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Constructions of AKE

- Explicit AKE: using additional primitives i.e., **signature** or **MAC**
 1. IKE, Canetti-Krawczyk 02
 2. SIGMA, Krawczyk 03, **Peikert 14**
 3. TLS, Krawczyk 02
- Implicit AKE: **unique** ability so as to compute the resulted session key
 1. **MTI 86**: the first one
 2. **MQV 95**: various attacks
 3. **HMQV 05**: the first provable secure implicit-AKE via gap-DH and KEA
 4. **Okamoto 07**: in standard model from DDH (Hashing Proof Sys.)
 5. **LLM 07**: NAXOS scheme from gap-DBDH
 6. **Boyd et al. 08**: Diffie-Hellman+KEM
 7. **FSXY 12** (std.), **FSXY 13** (RO)
 8. **ZZD+15** HMQV-type based on RLWE with weaker aim

Motivation

- Explicit AKE



SIGMA

Krawczyk 03

- Implicit AKE



???

Motivations

- What is the (non-interactive) core building block of implicit AKE?
- How to grasp and simplify the construction and analysis of implicit AKE?

Our Works

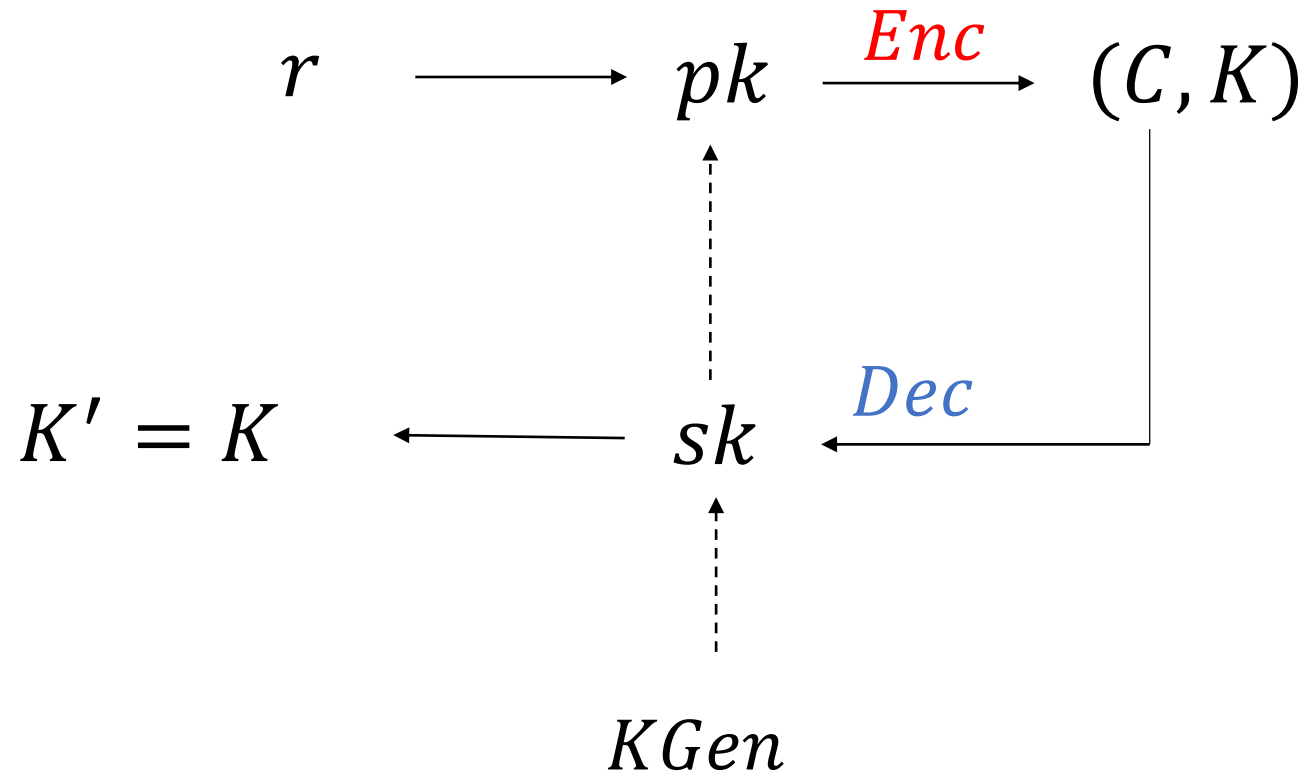
- What is the (non-interactive) core building block of implicit AKE?
- propose a new primitive 2-key KEM

- How to grasp and simplify the construction and analysis of AKE?
- give frames of AKE to understand several well-know AKEs
- construct new AKEs from 2-key KEM

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- *AKE* ← *2-key KEM* ←
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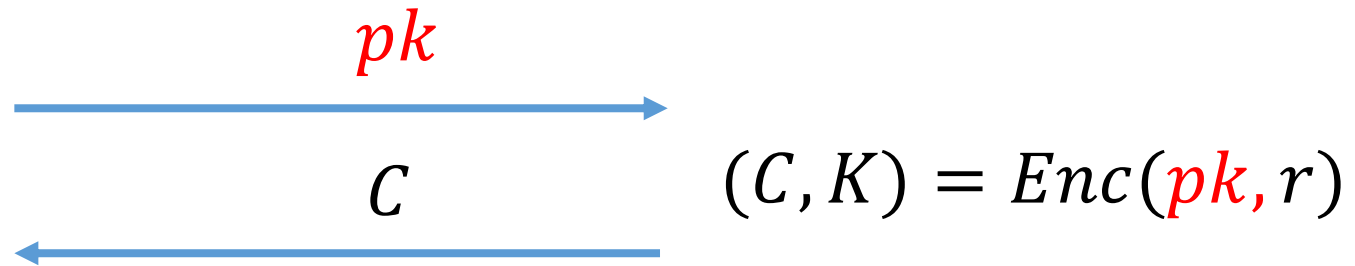
Key Encapsulation Mechanism(KEM)



Key Exchange (transport) and KEM

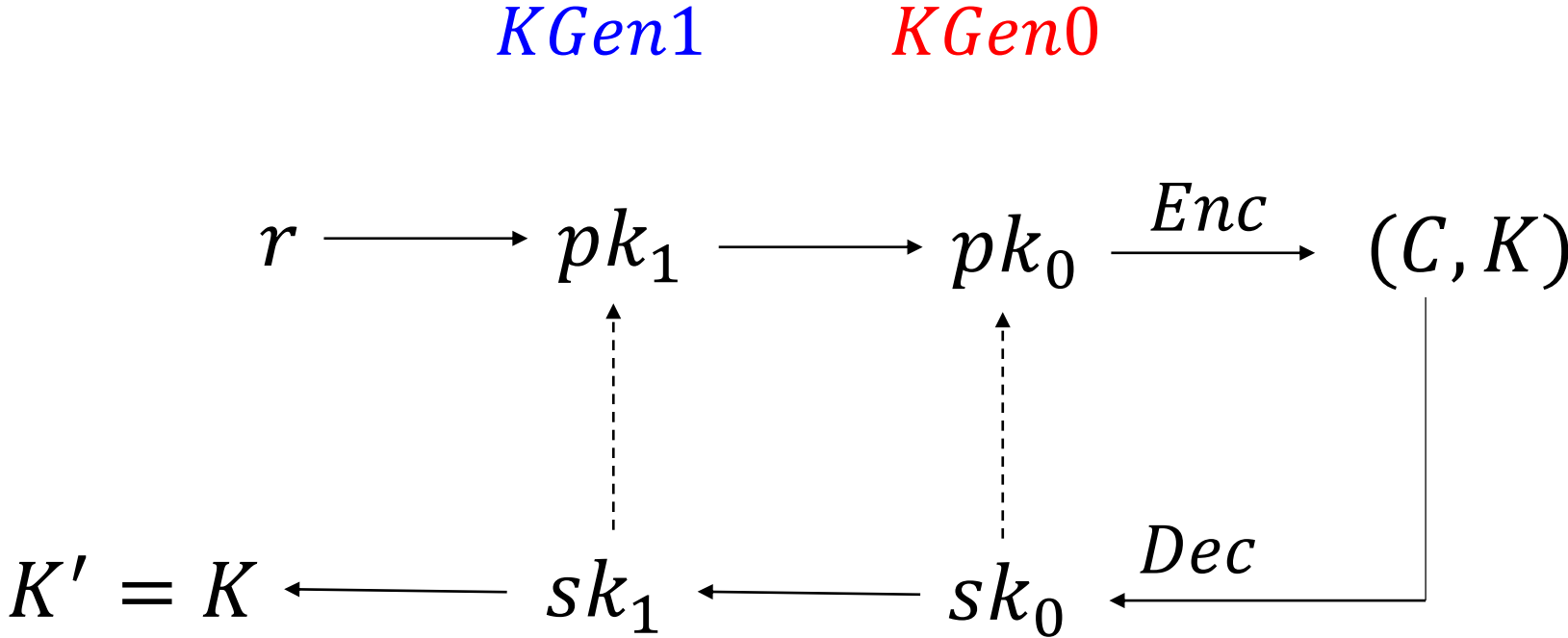
U_A

U_B



$$Dec(sk, C) = K = Enc(pk, r)$$

Our 2-key KEM



It is simple, not a big deal

One-side AKE from 2-key KEM?

U_A
 pk_1

U_B

pk_0

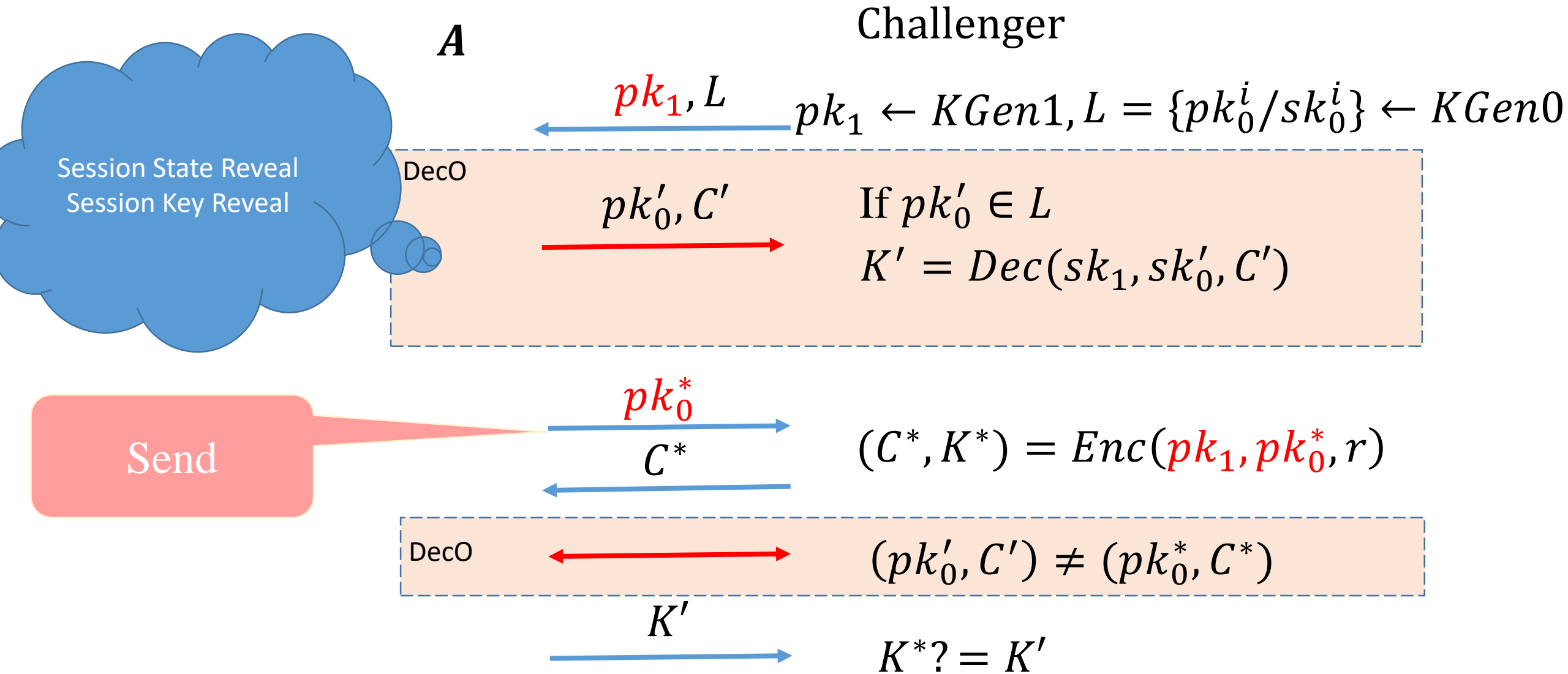
C

$(C, K) = Enc(pk_1, pk_0, R_B)$

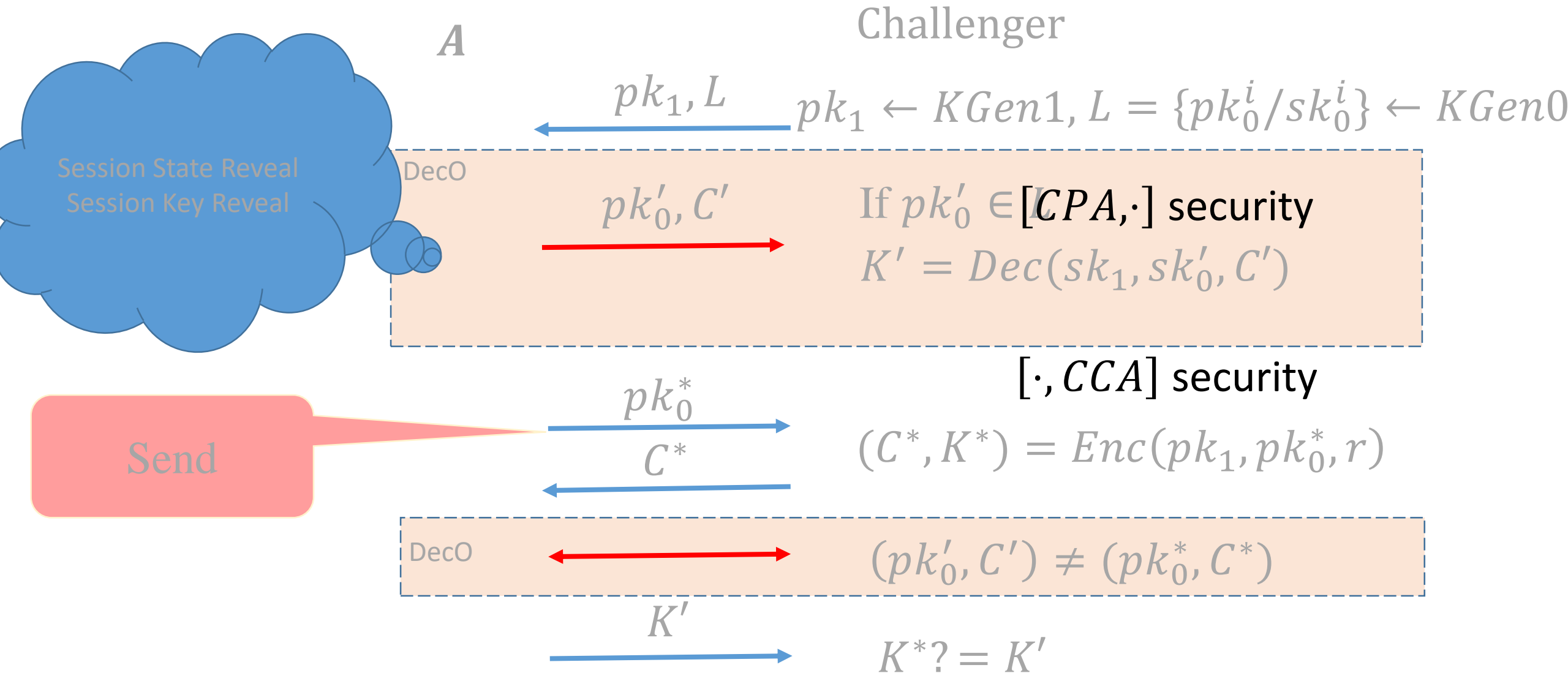
$Dec(sk_1, sk_0, C) = K$

The key point is how to define its security to fit the requirement of AKE

[CCA, ·] Security of 2-key KEM



[CCA, ·] Security of 2-key KEM

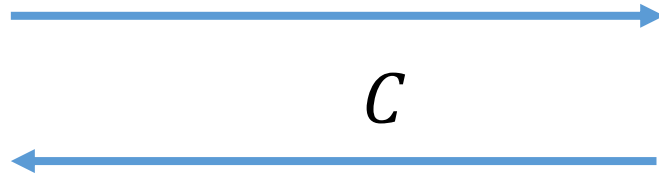


One-side AKE from [CCA, CPA] 2-key KEM

U_A pk_{A1}

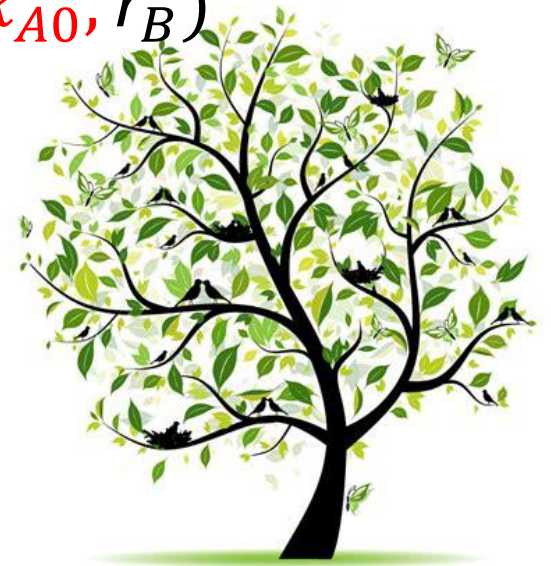
U_B

pk_{A0}



$$(C, K) = Enc(pk_{A1}, pk_{A0}, r_B)$$

$$K = Dec(sk_{A1}, sk_{A0}, C)$$



The other side AKE from [CCA, CPA] 2-key KEM

U_A

U_B pk_{B1}

pk_{B0}

$$(C_B, K_B) = Enc(pk_{B1}, pk_{B0})$$

C_B

$$K_B = Dec(sk_{B1}, sk_{B0}, C_A)$$

Main AKE frame? $\leftarrow [CCA, CPA]$ 2-key KEM

U_A pk_{A1}

U_B pk_{B1}

$$(C_B, K_B) = Enc(pk_{B1}, pk_{B0}) \xrightarrow{pk_{A0} \quad C_B} K_B = Dec(sk_{B1}, sk_{B0}, C_A)$$

$$K_A = Dec(sk_{A1}, sk_{A0}, C_A) \xleftarrow{C_A \quad pk_{B0}} (C_A, K_A) = Enc(pk_{A1}, pk_{A0})$$

$$K = Hash(sid, K_A, K_B) \text{ or } PRF(K_B) \oplus PRF(K_A)$$

Several AKE frames with Tricks

$U_A \quad pk_{A1}$

$U_B \quad pk_{B1}$

$$(C_B, K_B) = Enc(pk_{B1}, pk_{B0}) \xrightarrow{pk_{A0} \quad C_B} K_B = Dec(sk_{B1}, sk_{B0}, C_A)$$

$$K_A = Dec(sk_{A1}, sk_{A0}, C_A)$$

Trick 1

All the randomness for *Enc* and *KGen0* is generated from both *ephemeral secret* r_{A0} and *static secret key* sk_A

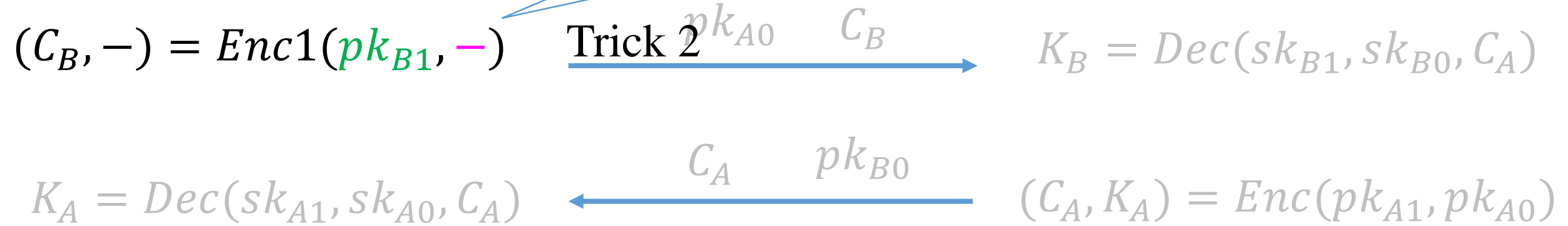
$$C_B = Enc(pk_{A1}, pk_{A0})$$

$$K = Hash(sid, K_A, K_B) \text{ or } PRF(K_B) \oplus PRF(K_A)$$

Several AKE frames with Tricks

U_A pk_{A1}

2-key KEM is public key pk_{B0} independent



$$K = Hash(sid, K_A, K_B) \text{ or } PRF(K_B) \oplus PRF(K_A)$$

Several AKE frames with Tricks

$U_A \quad pk_{A1}$

$U_B \quad pk_{B1}$

$(C_B, K_B) = Enc(pk_{B1}, pk_{B0})$ $\xrightarrow{pk_{A0} \quad \epsilon_B}$ $K_B = Dec(sk_{B1}, sk_{B0}, C_A)$

$K_A = Dec(sk_{A1}, sk_{A0}, C_A)$ $\xleftarrow{\epsilon_A}$ $\xleftarrow{pk_{B0}}$ $(C_A, K_A) = Enc(pk_{A1}, pk_{A0})$

Trick 3

C_B can be publicly computed from pk_{A0}
 C_A can be publicly computed from pk_{B0}

$K = Hash(sk_{A1} \parallel sk_{A0} \parallel sk_{B1} \parallel sk_{B0} \parallel C_A \parallel C_B \parallel K_A \parallel K_B)$

Understanding HMQV-A based on 2-key KEM

$$U_A \quad A = g^a$$

$$U_B$$

$$X = g^x$$

$$d = h(X, B)$$

$$K_A = (YB^e)^{x+ad}$$

X



YB^e



$$Y = g^y, C_A = YB^e$$

$$e = h(Y, A)$$

$$K_B = (XA^d)^{y+be}$$

Understanding HMQV-B based on 2-key KEM

U_A

$U_B \quad B = g^b$

$$X = g^x, C_B = XA^d$$

$$d = h(X, B)$$

$$K_A = (YB^e)^{x+ad}$$

XA^d

$$Y = g^y$$

$$e = h(Y, A)$$

$$K_B = (XA^d)^{y+be}$$

Y

Understanding HMQV based on 2-key KEM

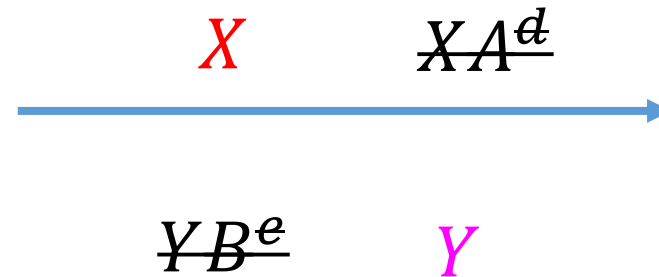
$$U_A \quad A = g^a$$

$$U_B \quad B = g^b$$

$$X = g^x, C_B = XA^d$$

$$d = h(X, B)$$

$$K_A = (YB^e)^{x+ad}$$



$$Y = g^y, C_A = YB^e$$

$$e = h(Y, A)$$

$$K_B = (XA^d)^{y+be}$$

$$K = \text{Hash}(A, B, X, Y, K_A, K_B)$$

Understanding AKE

- Every well-known implicit AKE implies a 2-key KEM
 - **HMQV(&OAKE)**: 2-key KEM from gap-DH and KEA
 - **LLM07**: (aka. NAXOS) 2-key KEM from gap-DH
 - **Okamoto 07**: 2-key KEM from DDH (modified Cramer-Shoup)
 - **FSXY12**, improved KEM combiner in std. model
 - **FSXY13**, improved KEM combiner in RO model



Generic constructions of 2-key KEM

- CCA secure $(C_1, K_1) = Enc(pk_1)$, and $(C_0, K_0) = Enc(pk_0)$

$$C = C_1|C_0, K = f(K_1, K_0, C)$$

- GHP18, CCA secure when f is a hash (in RO) or PRF function (in std.).
- It is not $[CCA, \cdot]$ secure
- However when adding pk_0 in hashing or PRF step, it is $[CCA, \cdot]$ secure

More Generic Constructions of 2-key KEM

- Classical Fujioaka-Okamoto transformation does not work for $[CCA, \cdot]$ security
- Improved FO transformation by putting public key in hashing step to generate K

Roadmap

AKE

Interactive

2-key KEM

Non-interactive

HMQV

NAXOS

Okamoto

Improved
KEM
Combiner

Improved
FO

OAKE

FXSY12

FXSY13

[CPA, CPA]
2-key PKE



AKE from Lattice

- ZDD+15 proposed HMQV-type RLWE with BR and wPRF security

$e_1 e_2 e_3$ more communications

- BDK+18 Kyber utilized FSXY to give a CK+ secure AKE from Module-LWE
- By applying the Improved FO transformation and AKE frame, we get AKE with less communications from Module-LWE

ZDD+15, Zhang J., Zhang Z., Ding J., Snook M., Dagdelen O [EUROCRYPT](#) 2015.

BDK+18, Bos, J.W., Ducas, L., Kiltz, E., Lepoint, T., Lyubashevsky, V., Schanck, J.M., Schwabe, P., Stehle, D [Euro S&P](#) 2018

Conclusion

- [CCA, CPA] secure 2-key KEM and its (generic) constructions
- Understand *HMQR*, *NAXOS*, *Okamoto*, *FSXY12-3* etc. via 2-key KEM
- New Constructions based on lattice and SIDH

Thanks

Following work: Supersingular Isogeny DH-AKE

- Galbraith pointed out several challenges (eprint 2018\226)
 1. Sign-MAC? Signature via SIDH $O(\lambda^2)$
 2. g^{ad+x}
 3. Adaptive attack. Public Key Validation
 4. formal Gap assumption

AKE-SIDH that is CK+ secure and supports arbitrary registration